

# Parquet equations for interacting and disordered electron systems: Self-energy and the role of the Ward identity

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# Microscopic description & macroscopic consistency

- **Thermodynamic consistency**: from microscopic statistical mechanics to thermodynamic (macroscopic) phenomena
- Macroscopic conservation laws -- microscopic Ward identities
- **Baym-Kadanoff construction** -- 1P self-consistency for the self-energy
- **Quantum critical phenomena** -- divergence in two-particle functions
- **To control 2P criticality** -- a direct (diagrammatic) approach to 2P functions (not via self-energy)
- **Parquet equations** -- 2P self-consistency eliminating spurious (non-integrable) divergencies

How to make 2P (parquet) approach thermodynamically consistent?

# Outline

- 1 **Quantum many-body systems**
  - Thermodynamics & Green functions
  - Bethe-Salpeter & parquet equations
  
- 2 **Thermodynamically consistent many-body parquet approach**
  - Schwinger-Dyson equation and Ward identity generally
  - Perturbing the self-energy
  - 2P approach - Linearized Ward identity and Schwinger-Dyson equation
  
- 3 **Thermodynamic consistency in disordered systems**
  - 2P reducibility and parquet equations in disordered systems
  - Ward identity and the full 2P vertex
  
- 4 **Conclusions**

# Equilibrium Hamiltonian & general perturbation

Equilibrium hamiltonian: Tight-binding description

$$\hat{H} = \sum_{\mathbf{k}\sigma} \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{i\sigma} V_i \hat{n}_{i\sigma} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

General perturbation: Normal & anomalous terms

$$\begin{aligned} \hat{H}_{\text{ext}} = \int d1d2 \left\{ \right. & \sum_{\sigma} \eta_{\sigma}^{\parallel}(1,2) c_{\sigma}^{\dagger}(1) c_{\sigma}(2) \quad \dots \text{conserves charge \& spin} \\ & + \left[ \eta^{\perp}(1,2) c_{\uparrow}^{\dagger}(1) c_{\downarrow}(2) + \bar{\eta}^{\perp}(1,2) c_{\downarrow}^{\dagger}(2) c_{\uparrow}(1) \right] \quad \dots \text{conserves charge} \\ & + \sum_{\sigma} \left[ \bar{\xi}_{\sigma}^{\parallel}(1,2) c_{\sigma}(1) c_{\sigma}(2) + \xi_{\sigma}^{\parallel}(1,2) c_{\sigma}^{\dagger}(1) c_{\sigma}^{\dagger}(2) \right] \quad \dots \text{conserves spin} \\ & \left. + \left[ \bar{\xi}^{\perp}(1,2) c_{\uparrow}(1) c_{\downarrow}(2) + \xi^{\perp}(1,2) c_{\downarrow}^{\dagger}(2) c_{\uparrow}^{\dagger}(1) \right] \dots \text{changes charge \& spin} \right\} \end{aligned}$$

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# Thermodynamics & Green functions - unrenormalized

- Thermodynamic potential with external sources (weak non-equilibrium)

$$\Omega[G^{(0)-1}, H] = -\beta^{-1} \log \text{Tr} \left[ \exp \left\{ -\beta \left( \hat{H} + \hat{H}_{\text{ext}} - \mu \hat{N} \right) \right\} \right]$$

unperturbed 1P Green function  $G^{(0)-1} = [i\omega_n - \epsilon(\mathbf{k}) - \mu]$

- 1P Green function

$$G_{\sigma\sigma'}(\mathbf{k}, \mathbf{k}'; \tau, \tau') = -\frac{1}{\hbar} \text{Tr} \left\{ \hat{\rho}_H \mathcal{T} \left[ c_{\mathbf{k}\sigma}^\dagger(\tau) c_{\mathbf{k}'\sigma'}(\tau') \right] \right\} = \frac{\delta \Omega[G^{(0)-1}, H]}{\delta G^{(0)-1}(\mathbf{k}, \tau; \mathbf{k}', \tau')}$$

$$\hat{\rho}_H = \exp \left\{ -\beta \hat{H} \right\} / \text{Tr} \exp \left\{ -\beta \hat{H} \right\}$$

- 2P Green function

$$G_2(12, 34) = -\frac{1}{\hbar^2} \text{Tr} \left\{ \hat{\rho}_H \mathcal{T} \left[ \hat{\psi}(1) \hat{\psi}(3) \hat{\psi}(4)^\dagger \hat{\psi}(2)^\dagger \right] \right\}$$

$$1 = (\mathbf{R}_1, \tau_1) \dots$$

# Green functions from a renormalized functional (Baym & Kadanoff)

- Renormalized generating functional -- "Legendre transform" of the thermodynamic potential

$$\Phi[G, H] = \Omega[G^{(0)-1}, H] - \int d\bar{1} \left( G^{(0)-1}(1, \bar{1}) - G^{-1}(1, \bar{1}) \right) G(\bar{1}, 1')$$

- 1P Green function and self-energy (equilibrium)

$$G^\alpha(12) = \left. \frac{\delta\Phi[G, H]}{\delta H_{\bar{\alpha}}(2, 1)} \right|_{H=0}, \quad \Sigma^\alpha(12) = \frac{\delta\Phi[G, 0]}{\delta G_{\bar{\alpha}}(2, 1)}$$

- 2P Green and vertex functions (equilibrium)

$$G^{(2)\alpha}(13, 24) = \left. \frac{\delta^2\Phi[G, H]}{\delta H_\alpha(4, 3)\delta H_{\bar{\alpha}}(2, 1)} \right|_{H=0}, \quad \Lambda^\alpha(13, 24) = \frac{\delta^2\Phi[G, 0]}{\delta G_\alpha(4, 3)\delta G_{\bar{\alpha}}(2, 1)}$$

# Fundamental relations between 1P & 2P GF

- Dyson equation

$$G^{(0)-1}(1, 2) - G^{-1}(1, 2) = \Sigma^\alpha(12) = \left. \frac{\delta\Phi[G, H]}{\delta G_\alpha(2, 1)} \right|_{H=0}$$

- Schwinger-Dyson equation -- projection of Schrödinger equation

$$\Sigma_\sigma(k) = \frac{U}{\beta N} \sum_{k'} G_{-\sigma}(k') \left[ 1 - \frac{1}{\beta N} \sum_q \Gamma_{\sigma-\sigma}(k, k'; q) G_\sigma(k+q) G_{-\sigma}(k'+q) \right]$$

- Bethe-Salpeter equations

$$\Gamma(k, k'; q) = \Lambda^\alpha(k, k'; q) - [\Lambda^\alpha G G \odot \Gamma](k, k'; q)$$

- Generalized Ward identity (thermodynamic consistency)

$$\Lambda^\alpha(13, 24) = \frac{\delta\Sigma^\alpha(1, 2)}{\delta G^\alpha(4, 3)}$$

- SD & WI hold simultaneously in **full exact but none approximate** (even asymptotically exact) theory

# Necessity to control directly 2P functions

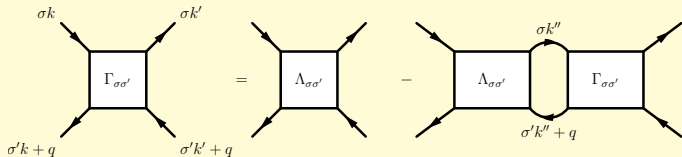
- Critical behavior and phase transitions due to singularities in Bethe-Salpeter equations
- Two-particle self-consistency needed to eliminate unphysical (non-integrable) singularities
- Stable equilibrium state in the critical region  
-- full control of 2P functions necessary
- BK approach does not work -- 2P vertex not explicitly known for the given self-energy (2P singularities not controlled)
- Inverse procedure to BK:
  - Direct (diagrammatic) approximation for 2P vertices
  - Introduce a two-particle self-consistency (when needed)
  - Construct a self-energy to the given 2P vertex
  - Ward identity generally lost

Thermodynamic consistency -- Ward identity  
to be reintroduced (macroscale)



# Bethe-Salpeter equation - electron-hole channel

- Multiple simultaneous scatterings -- electron-hole ladder



- Conserving (bosonic) transfer (four) momentum:  $k - k'$

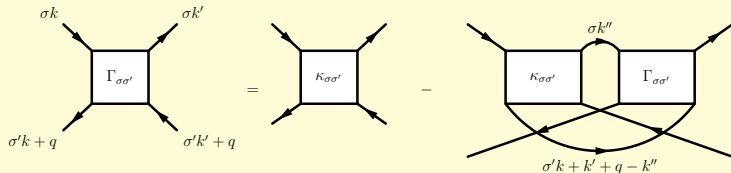
$$\Gamma_{\sigma\sigma'}(k, k'; q) = \Lambda_{\sigma\sigma'}^{eh}(k, k'; q) - \frac{1}{\beta\mathcal{N}} \sum_{q''} \Lambda_{\sigma\sigma'}^{eh}(k, k'; q'') \times G_{\sigma}(k + q'') G_{\sigma'}(k' + q'') \Gamma_{\sigma\sigma'}(k + q'', k' + q''; q - q'')$$

- Decomposition of the full vertex: All = irreducible  $\cup$  reducible (diagrams)

$$\Gamma_{\sigma\sigma'} = \Lambda_{\sigma\sigma'}^{eh} + \mathcal{K}_{\sigma\sigma'}^{eh}$$

# Bethe-Salpeter equation - electron-electron channel

- Multiple simultaneous scatterings -- electron-electron ladder



- Conserving (bosonic) transfer (four) momentum:  $k + k' + q$

$$\Gamma_{\sigma\sigma'}(k, k'; q) = \Lambda_{\sigma\sigma'}^{ee}(k, k'; q) - \frac{1}{\beta\mathcal{N}} \sum_{q''} \Lambda_{\sigma\sigma'}^{ee}(k, k' + q''; q - q'') \times G_{\sigma}(k + q - q'') G_{\sigma'}(k' + q'') \Gamma_{\sigma\sigma'}(k + q - q'', k'; q'')$$

- Decomposition of the full vertex: All = irreducible  $\cup$  reducible (diagrams)

$$\Gamma_{\sigma\sigma'} = \Lambda_{\sigma\sigma'}^{ee} + K_{\sigma\sigma'}^{ee}$$

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# vertex functions -- Parquet approach (two channels)

- Basic concept: 2P reducibility -- not uniquely defined
- Channel-dependent decompositions of the full vertex:  

$$\Gamma_{\sigma\sigma'} = \Lambda_{\sigma\sigma'}^{ee} + \mathcal{K}_{\sigma\sigma'}^{ee} = \Lambda_{\sigma\sigma'}^{eh} + \mathcal{K}_{\sigma\sigma'}^{eh}$$
- Fully irreducible vertex (diagrammatically):  $\mathcal{I} = \Lambda^{eh} \cap \Lambda^{ee}$
- Existence (applicability) of the parquet decomposition:

$$\mathcal{K}^{ee} \cap \mathcal{K}^{eh} = \emptyset$$

- Fundamental parquet decomposition:

$$\Gamma = \mathcal{I} \cup \mathcal{K}^{ee} \cup \mathcal{K}^{eh} = \Lambda^{eh} \cup \Lambda^{ee} \setminus \mathcal{I} = \mathcal{I} + \mathcal{K}^{eh} + \mathcal{K}^{ee} = \Lambda^{ee} + \Lambda^{eh} - \mathcal{I}$$

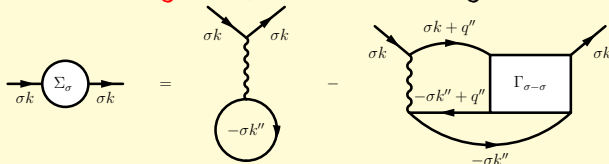
- Parquet equations: Bethe-Salpeter equations with  $\Gamma$  replaced by the fundamental parquet decomposition
- Input to parquet equations: fully irreducible vertex  $\mathcal{I}$ ,  $G_{\sigma}$
- Output: 2PIR vertices  $\Lambda^{eh}$  and  $\Lambda^{ee}$
- No prescribed connection between  $\Sigma$  and  $\Lambda^{\alpha}$  or  $\Gamma$

# Parquet equations for interacting systems

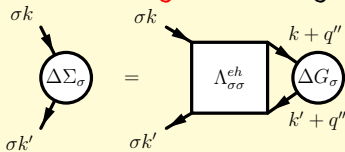
- Non-locality in space & time -- parquet equations also locally
- 1P propagators in the parquet equations:  
*Self-energy from Schwinger-Dyson equation*
  - Thermodynamic consistency not guaranteed: Singularity in the BSE does not break the symmetry of the self-energy
  - Parquet equations (2P approach) break down beyond the critical point (singularity in BSE)!
- *Thermodynamic consistency only via Ward identity*
- Full WI cannot be resolved
- WI does not determine the self-energy (energy not conserved) (unlike disordered systems)

# 1P propagators & 2P vertices

- Schwinger-Dyson equation:** Self-energy and the full 2P vertex



- Ward identity:** Self-energy and 2P irreducible vertex



$$\Delta\Sigma_\sigma(k, k') = \Sigma_\sigma(k) - \Sigma_\sigma(k'), \quad \Delta G_\sigma(k, k') = G_\sigma(k) - G_\sigma(k')$$

One can never fulfill both identities!

# Two-particle criticality

- Transition to an ordered state via a critical point -- divergence in a Bethe-Salpeter equation
- **Magnetic order** -- electron hole bubbles (local irreducible vertex)

$$\Gamma_{\uparrow\downarrow}(k, k'; q) = \frac{\Lambda_{\uparrow\downarrow}^U}{1 - \Lambda_{\uparrow\downarrow}^U \chi_{\downarrow\downarrow}(k - k') \Lambda_{\uparrow\downarrow}^U \chi_{\uparrow\uparrow}(k - k')} \quad (1)$$

with  $\chi_{\sigma\sigma'}(q) = (\beta N)^{-1} \sum_k G_{\sigma}(k) G_{\sigma'}(k + q)$

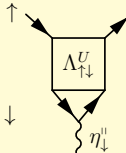
- **Critical point:**  $\Lambda_{\uparrow\downarrow}^U \chi_{\downarrow\downarrow}(0) = -1$

How to treat the theory beyond the critical point?

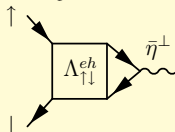
- Emergence of magnetic order - spin-polarized self-energy
- Only in thermodynamically consistent theories

# Perturbed self-energy - magnetic ordering

- **Repulsive particle interaction** -- electron-hole scattering dominant
- Linear-response theory -- unperturbed  $\Lambda^{eh}$  ( $\Lambda^U$ ) determines the self-energy
- **Longitudinal magnetic order** ( $eh$  bubbles): normal self-energy

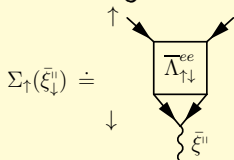
$$\Sigma_{\uparrow}(\eta_{\downarrow}^{\parallel}) \doteq$$


- **Transversal (spin flip) magnetic order** ( $eh$  ladders): self-energy anomalous only in the spin-polarized state

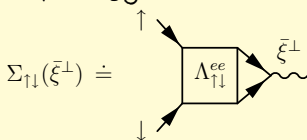
$$\Sigma_{\uparrow\downarrow}(\bar{\eta}^{\perp}) \doteq$$


# Perturbed self-energy - superconducting ordering

- **Attractive particle interaction** -- electron-electron scattering dominant
- Linear-response theory -- unperturbed  $\Lambda^{ee}$  determines the self-energy
- **Triplet superconducting order** ( $ee$  bubbles): anomalous self-energy  $\xi$  and anomalous vertex (does not conserve spin)



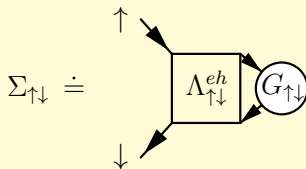
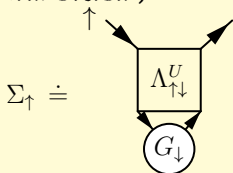
- **Singlet superconducting order** ( $ee$  ladders): anomalous self-energy





# Linearized Ward identity

- WI resolved w.r.t. symmetry-breaking field - only normal component
- **Linearized WI in the external magnetic field** (longitudinal  $\xi$  transversal)



- **Mathematical expressions**

$$\Sigma_{\uparrow}(k) = \frac{1}{\beta N} \sum_q \Lambda_{\uparrow\downarrow}^U(k, k; q) G_{\downarrow}(k+q)$$

$$\Sigma_{\uparrow\downarrow}(k) = \frac{1}{\beta N} \sum_{k'} \Lambda_{\uparrow\downarrow}^{eh}(k, k'; 0) G_{\uparrow\downarrow}(k')$$

- vertex depends quadratically on the perturbing (magnetic) field

# SDE in the thermodynamically consistent approach

- Linearized WI: symmetry of the self-energy gets broken at the divergence in the BSE for a zero eigenvalue of

$$M_{k,k'} = \delta_{k,k'} + \Lambda_{\uparrow\downarrow}(k, k'; 0) G_{\uparrow}(k') G_{\downarrow}(k')$$

- 1P propagators should use  $\Sigma$  from LWI in all equations with 2P functions: BSE, SDE

Schwinger-Dyson equation with  $\Gamma_{\sigma\sigma'}$  and  $G_{\sigma}$  from the parquet equations determines the physical (thermodynamic) self-energy

$$\Sigma_{\uparrow}(k) = \frac{U}{\beta N} \sum_{k'} G_{\downarrow}(k') \left[ 1 - \frac{1}{\beta N} \sum_{k''} G_{\downarrow}(k'') G_{\uparrow}(k+k'-k'') \right. \\ \left. \times \Gamma_{\uparrow\downarrow}(k', k''; k-k'') \right]$$

# SDE in the thermodynamically consistent approach

- Linearized WI: symmetry of the self-energy gets broken at the divergence in the BSE for a zero eigenvalue of

$$M_{k,k'} = \delta_{k,k'} + \Lambda_{\uparrow\downarrow}(k, k'; 0) G_{\uparrow}(k') G_{\downarrow}(k')$$

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$$\Sigma_{\uparrow}(k) = \frac{U}{\beta N} \sum_{k'} G_{\downarrow}(k') \left[ 1 - \frac{1}{\beta N} \sum_{k''} G_{\downarrow}(k'') G_{\uparrow}(k + k' - k'') \right. \\ \left. \times \Gamma_{\uparrow\downarrow}(k', k''; k - k'') \right]$$

# Parquet equations for disordered electrons

- **Quenched disorder**  $\S$  no **ee** interaction
  - no dynamics, energy conserved
- Equilibrium thermodynamics
  - renormalization of the dispersion relation
- **Non-equilibrium** -- Linear Response Theory (Kubo formalism)
  - Equilibrium two-particle Green function needed
  - Multiple scattering on impurities
    - Local scatterings -- mean field (CPA)
    - **Nonlocal scatterings** -- electron-electron and electron-hole simultaneous propagation distinguishable
  - **Two-particle self-consistency** -- **via parquet equations** (beyond CPA)
  - vertex function not fully compatible with the Ward identity
- **Thermodynamic consistency** -- corrections to the vertex from the parquet equations so that Ward identity is obeyed

# Model description of scatterings on impurities

Noninteracting lattice electrons in a random lattice (impurities) in tight-binding representation:

$$\hat{H}_{AD} = \sum_{\langle ij \rangle} t_{ij} c_i^\dagger c_j + \sum_i V_i c_i^\dagger c_i$$

Disorder distribution (site independent):

$$\langle X(V_i) \rangle_{av} = \int_{-\infty}^{\infty} dV \rho(V) X(V)$$

binary alloy:  $\rho(V) = c\delta(V - \Delta) + (1 - c)\delta(V + \Delta)$

**Quenched disorder:** Averaged free energy (thermodynamics)

$$F_{av} = -k_B T \left\langle \ln \text{Tr} \exp \left\{ -\beta \hat{H}_{AD}(t_{ij}, V_i) \right\} \right\rangle_{av}$$

Good for thermodynamics and averaged one-electron functions,  
no information on transport and dynamical quantities

# Two-particle reducibility and parquet decomposition

- Distinction between electron and holes necessary for parquet equations -- *non locality in time or space*
- *Static theory -- non-local scatterings* (beyond local mean-field)
- Expansion beyond MFT: non-local (off-diagonal) 1PGF

$$\bar{G}(E_{\pm}, \mathbf{k}) = \frac{1}{N} \sum_{\mathbf{k}'} \frac{N\delta_{\mathbf{k},\mathbf{k}'} - 1}{E \pm i0^+ - \epsilon(\mathbf{k}') - \Sigma(E \pm i0^+, \mathbf{k})}$$

- Distinguishable two-particle reducibility
- *Local diagrams -- fully irreducible*
- Electron-hole simultaneous propagation:  $\bar{G}(E_+, \mathbf{k})\bar{G}(E_-, \mathbf{q} + \mathbf{k})$
- Electron-electron simultaneous propagation:  $\bar{G}(E_+, \mathbf{k})\bar{G}(E_-, \mathbf{q} - \mathbf{k})$

# Bethe-Salpeter and the parquet equations (no WI)

- BS equation with multiple nonlocal  $eh$  scatterings

$$\Gamma_{\mathbf{k}\mathbf{k}'}(E_+, E_-; \mathbf{q}) = \bar{\Lambda}_{\mathbf{k}\mathbf{k}'}^{eh}(E_+, E_-; \mathbf{q}) + \frac{1}{N} \sum_{\mathbf{k}''} \bar{\Lambda}_{\mathbf{k}\mathbf{k}''}^{eh}(E_+, E_-; \mathbf{q}) \\ \times \bar{G}(E_+, \mathbf{k}'') \bar{G}(E_-, \mathbf{k}'' + \mathbf{q}) \Gamma_{\mathbf{k}''\mathbf{k}'}(E_+, E_-; \mathbf{q})$$

- BS equation with multiple nonlocal  $ee$  scatterings

$$\Gamma_{\mathbf{k}\mathbf{k}'}(E_+, E_-; \mathbf{q}) = \bar{\Lambda}_{\mathbf{k}\mathbf{k}'}^{ee}(E_+, E_-; \mathbf{q}) + \frac{1}{N} \sum_{\mathbf{k}''} \bar{\Lambda}_{\mathbf{k}\mathbf{k}''}^{ee}(E_+, E_-; \mathbf{q} + \mathbf{k}' - \mathbf{k}'') \\ \times \bar{G}(E_+, \mathbf{k}'') \bar{G}(E_-, \mathbf{Q} - \mathbf{k}'') \Gamma_{\mathbf{k}''\mathbf{k}'}(E_+, E_-; \mathbf{q} + \mathbf{k} - \mathbf{k}'')$$

$$\mathbf{Q} = \mathbf{q} + \mathbf{k} + \mathbf{k}'$$

- Parquet equation

$$\Gamma_{\mathbf{k}\mathbf{k}'}(E_+, E_-; \mathbf{q}) = \bar{\Lambda}_{\mathbf{k}\mathbf{k}'}^{eh}(E_+, E_-; \mathbf{q}) + \bar{\Lambda}_{\mathbf{k}\mathbf{k}'}^{ee}(E_+, E_-; \mathbf{q}) - \mathcal{I}_{\mathbf{k}\mathbf{k}'}(E_+, E_-; \mathbf{q})$$

Fully irreducible vertex  $\mathcal{I}$  - contains all local and multiply crossed diagrams

# 2P electron-hole symmetry - missing in CPA

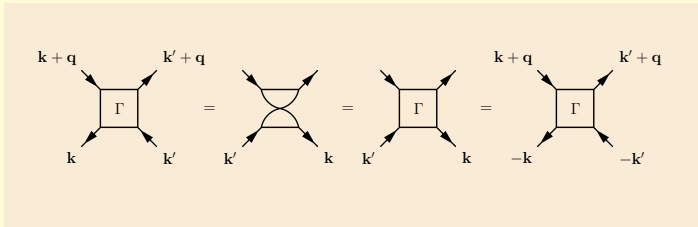
- Charge & time reflection (bipartite lattice)

$$G(\mathbf{k}, z) = G(-\mathbf{k}, z)$$

- Two-particle symmetry: Full vertex

$$\Gamma_{\mathbf{k}\mathbf{k}'}(z_+, z_-; \mathbf{q}) = \Gamma_{\mathbf{k}\mathbf{k}'}(z_+, z_-; -\mathbf{Q}) = \Gamma_{-\mathbf{k}'-\mathbf{k}}(z_+, z_-; \mathbf{Q})$$

$$(\mathbf{Q} = \mathbf{q} + \mathbf{k} + \mathbf{k}')$$



- Irreducible vertices: Symmetry transformation

$$\bar{\Lambda}_{\mathbf{k}\mathbf{k}'}^{ee}(z_+, z_-; \mathbf{q}) = \bar{\Lambda}_{\mathbf{k}\mathbf{k}'}^{eh}(z_+, z_-; -\mathbf{Q}) = \bar{\Lambda}_{-\mathbf{k}'-\mathbf{k}}^{eh}(z_+, z_-; \mathbf{Q})$$



# Ward identity

- 2P vertex from parquet equations (or other 2P constructions) does not fully obey Ward identity
- 1P propagators in 2P approaches are input
- **vollhardt-wölfle** identity (continuity equation) ( $\mathbf{k}_{\pm} = \mathbf{k} \pm \mathbf{q}/2$ )

$$\Sigma(z_+, \mathbf{k}_+) - \Sigma(z_-, \mathbf{k}_-) = \frac{1}{N} \sum_{\mathbf{k}'} \Lambda_{\mathbf{k}\mathbf{k}'}(z_+, z_-; \mathbf{q}) [G(z_+, \mathbf{k}') - G(z_-, \mathbf{k}')] ]$$

$G^{(2)} = GG + \Lambda GG \star G^{(2)}$  -- Bethe-Salpeter equation

- WI guaranteed in 2P approaches (parquets) only for  $\omega = 0$  and  $q = 0$

$$\Lambda_{\mathbf{k}\mathbf{k}'}(z_+, z_-; \mathbf{q}) \neq \bar{\Lambda}_{\mathbf{k}\mathbf{k}'}(z_+, z_-; \mathbf{q})$$

# vertex for the Ward identity & self-energy

- Self-energy in the  $1P$  propagator of the parquet equations ( $E_{\pm} = E \pm i0^+$ )

$$\Im \Sigma(E_+, \mathbf{k}) = \frac{1}{N} \sum_{\mathbf{k}'} \Lambda_{\mathbf{k}\mathbf{k}'}(E_+, 0) \Im G(E_+, \mathbf{k}')$$

$$\Re \Sigma(E_+, \mathbf{k}) = \Sigma_{\infty} + P \int_{-\infty}^{\infty} \frac{d\omega}{\pi} \frac{\Im \Sigma(\omega_+, \mathbf{k})}{\omega - E}$$

- vertex  $\Lambda$  -- irreducible also locally (eh and ee processes indistinguishable)
- Irreducible vertex for the Ward identity from the vertex of the parquet equations  $\bar{\Lambda}$

$$\Lambda_{\mathbf{k}\mathbf{k}'}(\mathbf{q}) = \bar{\Lambda}_{\mathbf{k}\mathbf{k}''}(\mathbf{q}) + \frac{1}{N} \sum_{\mathbf{k}''} \bar{\Lambda}_{\mathbf{k}\mathbf{k}''}(\mathbf{q}) [G_+(\mathbf{k}'') \langle G_- \rangle + \langle G_+ \rangle G_-(\mathbf{k}_-) - \langle G_+ \rangle \langle G_- \rangle] \Lambda_{\mathbf{k}''\mathbf{k}'}(\mathbf{q})$$

$$\langle G_{\pm} \rangle = N^{-1} \sum_{\mathbf{k}} G(E_{\pm}, \mathbf{k})$$

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- New quantities to define a vertex compatible with WI

$$\Delta G_{\mathbf{k}}(\omega, \mathbf{q}) = G(E_+, \mathbf{k}_+) - G(E_-, \mathbf{k}_-)$$

$$\Delta \Sigma_{\mathbf{k}}(\omega, \mathbf{q}) = \Sigma_{\mathbf{k}_+}(E_+, \mathbf{k}_+) - \Sigma(E_-, \mathbf{k}_-)$$

$$R_{\mathbf{k}}(\omega, \mathbf{q}) = \frac{1}{N} \sum_{\mathbf{k}'} \Lambda_{\mathbf{k}\mathbf{k}'}(\omega, \mathbf{q}) \Delta G_{\mathbf{k}'}(\omega, \mathbf{q}) - \Delta \Sigma_{\mathbf{k}}(\omega, \mathbf{q})$$

$$\langle \Delta G(\omega, \mathbf{q})^2 \rangle = \frac{1}{N} \sum_{\mathbf{k}} \Delta G_{\mathbf{k}}(\omega, \mathbf{q})^2$$

$$E_{\pm} = E \pm \omega/2 \pm i0^+, \mathbf{k}_{\pm} = \mathbf{k} \pm \mathbf{q}/2$$

- BS equation for a thermodynamically consistent (physical) 2P vertex  $\Gamma$

$$\frac{1}{N} \sum_{\mathbf{k}''} \left\{ \delta_{\mathbf{k}, \mathbf{k}''} - \left[ \Lambda_{\mathbf{k}\mathbf{k}''} - \frac{\Delta G_{\mathbf{k}} R_{\mathbf{k}''}}{\langle \Delta G^2 \rangle} - \frac{R_{\mathbf{k}} \Delta G_{\mathbf{k}''}}{\langle \Delta G^2 \rangle} + \langle R \Delta G \rangle \frac{\Delta G_{\mathbf{k}} \Delta G_{\mathbf{k}''}}{\langle \Delta G^2 \rangle^2} \right] \right. \\ \left. \times G_{\mathbf{k}_+} G_{\mathbf{k}_-} \right\} \Gamma_{\mathbf{k}''\mathbf{k}'} = \Lambda_{\mathbf{k}\mathbf{k}'} - \frac{\Delta G_{\mathbf{k}} R_{\mathbf{k}'}}{\langle \Delta G^2 \rangle} - \frac{R_{\mathbf{k}} \Delta G_{\mathbf{k}'}}{\langle \Delta G^2 \rangle} + \langle R \Delta G \rangle \frac{\Delta G_{\mathbf{k}} \Delta G_{\mathbf{k}'}}{\langle \Delta G^2 \rangle^2}$$

# Conclusions I

## Parquet approach -- many-body & general

- Applicability of parquet approach
  - *distinguishability of electrons and holes*
- Dynamical or nonlocal scatterings
- Intermediate coupling -- a divergence in a BS equation (RPA pole)
- To go beyond the pole -- 1P order parameter is to be introduced
- *Thermodynamic consistency* between 1P propagators & 2PI vertex in parquet equations
- *Linearized Ward identity* -- self-energy in 1P propagators in parquet equations
- *Schwinger-Dyson equation* -- determines the physical self-energy (not self-consistent in parquet equations)

# Conclusions II

## Parquet approach -- disordered systems

- Parquet approach only to nonlocal vertices  
-- beyond mean field (CPA)
- Electron-hole symmetry on 2P level leads to  
*a single nonlinear integral equation*
- 2P vertex does not obey Ward identity
- Ward identity induces restriction of the irreducible vertex in 2P space
- Corrections to 2PIR vertex to restore WI
- Full 2P vertex from a Bethe-Salpeter equation with a restricted irreducible vertex and WI corrections
- *Physical quantities from the full vertex obeying WI*